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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/594,368	06/15/2000	Herb A. Little	555255012130	8507

7590 01/29/2007
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EXAMINER

TESLOVICH, TAMARA

ART UNIT	PAPER NUMBER
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2137

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	01/29/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

09/594,368

Applicant(s)

LITTLE, HERB A.

Examiner

Tamara Teslovich

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11/01/06.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-45 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-45 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>11.01.06</u> . | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

This action is in response to the Applicant's Remarks and Amendments filed November 1, 2006.

Claims 1-45 are pending and herein considered.

Response to Amendments and Arguments

Although Applicant's arguments with respect to Schneier's failure to specifically disclose the two part use of an ephemeral key pair are in fact persuasive, Applicant's newly amended independent claims 1, 19, and 31, and those claims dependent thereupon, fail to comply with 35 USC 112 because they are not enabling and leave the claims indefinite and vague. For purposes of examination, the Examiner has taken the Applicant's use of the phrase "ephemeral key pair" to include key pairs used more than once as the Applicant has used them. The Examiner is unable to find any support for dual use of an "ephemeral key pair" within the Applicant's specification.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-45 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter

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which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The Applicant's use of an "ephemeral key pair" more than once is non-enabling because the common technological use of "ephemeral key pairs" requires that they are created, used once, and destroyed immediately thereafter. Applicant's specification fails to clear up the issue.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-45 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Where applicant acts as his or her own lexicographer to specifically define a term of a claim contrary to its ordinary meaning, the written description must clearly redefine the claim term and set forth the uncommon definition so as to put one reasonably skilled in the art on notice that the applicant intended to so redefine that claim term. *Process Control Corp. v. HydReclaim Corp.*, 190 F.3d 1350, 1357, 52 USPQ2d 1029, 1033 (Fed. Cir. 1999). The term "ephemeral key pair" in claims 1, 16, and 31 is used by the claim to mean "use more than once", while the accepted meaning is "use once and destroy." The term is indefinite because the specification does not clearly redefine the term.

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The Examiner has included within her listing of prior art references three references:

- 1) Code & Cipher. Certicon's Bulletin of Security and Cryptography. Volume 1, Number 2. 2003.
- 2) Key Establishment Schemes Workshop Document. October 2001.
- 3) Core PKI Services: Authentication, Integrity, and Confidentiality. Microsoft TechNet. 1999.

These references have been included as support for the Examiner's 112 rejections concerning the improper use of the phrase "ephemeral key pair." The Examiner's relied upon references consist of a bulletin by *Certicom*, a workshop by *NIST*, and a TechNet document by *Microsoft*, three well reputed sources of Public Key Cryptography and Security guidelines and specifications.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-45 remain rejected under 35 U.S.C. 102(e) as being anticipated by Schneier et al., US Pat 5,956,404.

Regarding **Claims 1, 16, and 31**, Schneier teaches a public-key encryption process and system comprising the steps of a) encrypting a plaintext message into a ciphertext message, the encrypting step includes the step of producing an ephemeral key pair that is used to encrypt the plaintext message (see Schneier col.1 lines 28-44); and b) generating a digital signature for the ciphertext message using the ephemeral key pair produced in the encrypting step (see Schneier col.1 lines 45-65).

Regarding **Claims 2, 17, and 32**, Schneier teaches a public-key encryption process and system wherein the encrypting step uses an El Gamal encryption scheme (see Schneier col.1 lines 45-65).

Regarding **Claim 3**, Schneier teaches a public-key encryption process wherein the step of generating a digital signature comprises generating the digital signature using a Nyberg-Rueppel digital signature scheme; wherein the step of generating the digital signature includes hashing the plaintext message (see Schneier col.1 lines 45-65).

Regarding **Claims 18, and 33**, Schneier teaches a public-key encryption process and system wherein the step of generating a digital signature comprises generating the digital signature using a Nyberg-Rueppel digital signature scheme (see Schneier col.1 lines 45-65).

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Regarding **Claims 4, 19, and 34**, Schneier teaches a public-key encryption process and system, wherein the step of producing the ephemeral key pair comprises the steps of generating an encryption ephemeral private key x and calculating an encryption ephemeral public key $X = xG$, where G is a generator (see Schneier col.1 lines 28-44).

Regarding **Claims 5, 20, and 35**, Schneier teaches a public-key encryption process and system for encrypting messages for communication between a sender and a receiver, the process further comprising the steps of,

at the sender,

- a) generating a sender private key a ; and
 - b) calculating a sender public key $A = aG$, where G is a generator,
- and at the receiver,

- a) generating a receiver private key b ; and
- b) calculating a receiver public key $B = bG$,

wherein the sender obtains an authentic copy of the receiver public key B and the receiver obtains an authentic copy of the sender public key A (see Schneier col.1 lines 28-44).

Regarding **Claims 6, 21, and 36**, Schneier teaches a public-key encryption process and system, wherein the step of producing the ephemeral key pair comprises the steps of generating an encryption ephemeral private key x and calculating an encryption ephemeral public key $X = xG$ (see Schneier col.1 lines 28-44).

Regarding **Claims 7, 22, and 37**, Schneier teaches a public-key encryption process and system, further comprising the steps of, at the sender, generating a secret key $K = xB$ and encrypting a plaintext message using the secret key K to generate a ciphertext message (see Schneier col.1 lines 28-44).

Regarding **Claims 8, 23, and 38**, Schneier teaches a public-key encryption process and system, further comprising the steps of, at the sender, using the encryption private key x as a signature ephemeral private key and using the encryption ephemeral public key X as a signature ephemeral public key to generate a digital signature (see Schneier col.1 lines 45-65).

Regarding **Claims 9, 24 and 39**, Schneier teaches a public-key encryption process and system, wherein the digital signature comprises a first value r and a second value s , the process further comprising the step of, at the sender, transmitting the encryption ephemeral public key X , the ciphertext message and the second value s of the digital signature to the receiver (see Schneier col.1 lines 45-65).

Regarding **Claims 10, 25, and 40**, Schneier teaches a public-key encryption process and system, further comprising the steps of, at the receiver, generating the secret key $K = bX = bxG = xbG = xB$, decrypting the transmitted ciphertext message using the generated secret key K , calculating the first value r of the digital signature using the decrypted message and the transmitted encryption ephemeral public key X and validating the digital signature based on the calculated first value r and the transmitted second value s (see Schneier col.1 lines 45-65).

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Regarding **Claim 11**, Schneier teaches a the public-key encryption process of Claim 1, wherein at least a two-stage public-key encryption process is used; wherein the first stage includes key establishment and the second stage includes encryption/decryption; wherein said steps (a) and (b) are performed during the second stage of encryption (see Schneier col.1 lines 45-65).

Regarding **Claims 12-15, 26-30 and 41-45**, Schneier teaches a public-key encryption process and system and its implementation in wireless hand-held communication devices within a communication system (see Schneier col.5 lines 8-40).

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will

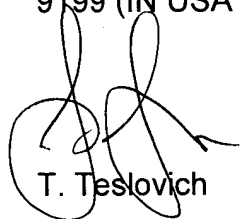
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the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tamara Teslovich whose telephone number is (571) 272-4241. The examiner can normally be reached on Mon-Fri 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on (571) 272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



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1-22-07